

Attorney's Docket No. 38190/239642



*XX*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Thomas L. Weaver et al.  
Appl. No.: 09/975,168  
Filed: October 11, 2001  
For: CLOSED-LOOP OPTICAL NETWORK SYSTEM  
AND AN ASSOCIATED TRANSCIEVER AND  
METHOD FOR TRANSMITTING  
A PLURALITY OF OPTICAL SIGNALS

Confirmation No.: 9101  
Group Art No.: 2633

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

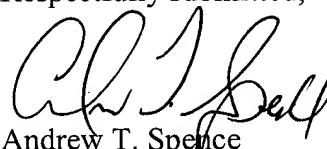
January 7, 2002

**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

  
Andrew T. Spence  
Registration No. 45,699

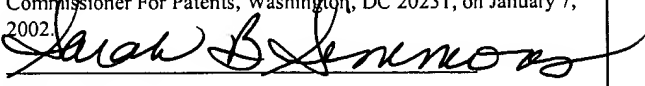
**Customer No. 00826**  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Charlotte Office (704) 444-1111

"Express Mail" Mailing Label Number  
Date of Deposit: January 7, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on January 7, 2002.

  
Sarah B. Simmons



Substitute for form 1449A PTO		<b>Complete if Known</b>	
<p align="center"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p align="center"><i>(Use as many sheets as necessary)</i></p>		Application Number	09/975,168
		Filing Date	October 11, 2001
		First Named Inventor	Thomas L. Weaver et al.
		Group Art Unit	2633
		Examiner Name	To be assigned
Sheet	2	of	2
		Attorney Docket Number	38190/239642

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published.	T
	5	KRISHNAN SUNDARESAN; <i>IP over DWDM: Principles and Practices</i> ; Pages 1 – 7 < <a href="http://www.acsu.buffalo.edu/~ks48/ipoverdwdm.pdf">http://www.acsu.buffalo.edu/~ks48/ipoverdwdm.pdf</a> >	
	6	<i>Lensed Wavelength Division Multiplexers Singlemode and Multimode</i> ; AMP Brand; Pages 1 – 3; available at < <a href="http://catalog.tycoelectronics.com/TE/bin/TE.Menu?M=Main">http://catalog.tycoelectronics.com/TE/bin/TE.Menu?M=Main</a> > (visited 10/03/2001).	
	7	<i>Wavelength Division Mux/Demux Model 9980</i> ; Pages 1-2 < <a href="http://www.optelecom.com/Products/productaccessories/9980.pdf">http://www.optelecom.com/Products/productaccessories/9980.pdf</a> >.	
	8	WAVESPLITTER™ TECHNOLOGIES, INC.; <i>WaveSelector™ Single Channel Add/Drop Filter</i> ; (revision Mar. 2001) available at < <a href="http://www.wavesplitter.com">http://www.wavesplitter.com</a> >.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.